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Typed or Printed Name of Person Mailing Paper or Fee: Shirley Fajardo

Signature: Shirley Fajardo



#4
Wagon
9/20/00

PATENT
Docket No. 1171

**IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: PHILIPPE CAILLOUX, et al.
SERIAL NO.: 09/500,702
FILED: FEBRUARY 9, 2000
FOR: METHODS AND APPARATUS FOR SUPPORTING
AND IMPLEMENTING COMPUTER BASED ANIMATION

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Assistant Commissioner For Patents
Washington, D.C. 20231

**REVOCATION OF POWER OF ATTORNEY AND
POWER OF ATTORNEY**

Sir:

I the undersigned, having on or about the 14th day of June, 2000, appointed Paul Davis Pat. Reg. No. 29,294; John J. Bruckner, Pat. Reg. No. 35,816; David J. Abraham, Pat. Reg. No. 39,554; David J. Weitz, Pat. Reg. No. 38,362; George A. Willman, Pat. Reg. No. 41,378; U.P. Peter Eng, Pat. Reg. No. 39,666; Jinntung Su, Pat. Reg. No. 42,174; Barbara J. Courtney, Pat. Reg. No. 42,442; Richard L. Gregory, Pat. Reg. No. 42,607; Van Mahamedi, Pat. Reg. No. 42,828; Joel Harris, Pat. Reg. No. 44,743; Shirley Chen, Pat. Reg. No. 44,608; Stephen Warhola, Pat. Reg. No. 43,237; Shailesh Mehra, Pat. Reg. No. 44,934; Erik L. Oliver, Pat. Reg. No. 46,296 and Jonathan T. Manson, Pat. Reg. No. 43,774 of Wilson Sonsini Goodrich & Rosati, 650 Page Mill Road, Palo Alto, CA 94304, (650) 493-9300, to prosecute an application for letters patent, which application was filed on February

Rosati, 650 Page Mill Road, Palo Alto, CA 94304, (650) 493-9300, to prosecute an application for letters patent, which application was filed on February 9, 2000, for an invention entitled "METHODS AND APPARATUS FOR SUPPORTING AND IMPLEMENTING COMPUTER BASED ANIMATION", Serial No. 09/500,702, hereby revoke the Power of Attorney then given; and

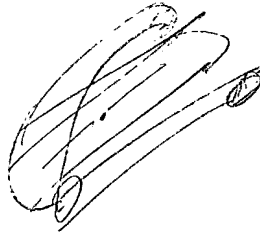
I hereby appoint F. David LaRiviere, Pat. Reg. No. 27,207, Noel B. Hammond, Pat. Reg. No. 18,731, Victor Flores, Pat. Reg. No. 29,638, May Lin DeHaan, Pat. Reg. No. 42,472, Charles K. Crossman, Pat. Reg. No. 34,312, Mark E. Andrews, Pat. Reg. No. 43,472, John L. Rogitz, Pat. Reg. No. 33,549 and John P. O'Banion, Pat. Reg. No. 33,201 of LaRiviere, Grubman & Payne, LLP, 4 Justin Court, Suite A, P.O. Box 3140, Monterey, CA 93942-3140, (831) 649-8800, as our attorneys/agents to prosecute said application, and to transact all business in the Patent and Trademark Office connected herewith.

PACEWORKS

August 23, 2000
DATE

Phac Le Tuan
Phac Le Tuan
President & CEO

VF/FDL/sf
September 7, 2000
LARIVIERE, GRUBMAN & PAYNE, LLP
Post Office Box 3140
Monterey, CA 93942
(831) 649-8800





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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: PHILIPPE CAILLOUX, et al.

Application No./Patent No.: 09/500,702 Filed/Issue Date: February 9, 2000

Entitled: METHODS AND APPARATUS FOR SUPPORTING AND IMPLEMENTING COMPUTER
BASED ANIMATION

PACEWORKS, a California Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. ~~The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.~~

OR The assignment was filed and received by the Patent and Trademark Office on June 14, 2000. A copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE]: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

AUG 23, 00
Date

Phac Le Tuan
Signature

Phac Le Tuan
Typed or printed name

President & CEO
Title



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 09, 2000

PTAS

WILSON SONSINI GOODRICH & ROSATI
SHAALU MEHRA
650 PAGE MILL ROAD
PALO ALTO, CA 94304-1050



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/14/2000

REEL/FRAME: 010854/0734

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CAILLOUX, PHILIPPE

DOC DATE: 06/06/2000

ASSIGNOR:

LETUAN, PHAC

DOC DATE: 06/06/2000

ASSIGNOR:

LIPTON, DAN

DOC DATE: 06/07/2000

ASSIGNOR:

TUZZI ANTONIO

DOC DATE: 06/07/2000

ASSIGNOR:

MAGNIER JEROME

DOC DATE: 06/08/2000

ASSIGNEE:

PACEWORKS, INC.

373 S. MONROE

#201

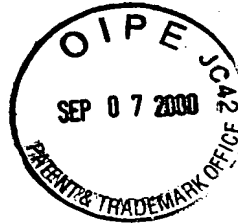
SAN JOSE, CALIFORNIA 95128

010854/0734 PAGE 2

SERIAL NUMBER: 09500702
PATENT NUMBER:

FILING DATE: 02/09/2000
ISSUE DATE:

PEARLENE FOSTER, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



06-20-2000

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



To the Commissioner of Patents at

101384663

original documents or copy thereof.

1. Name of conveying party(ies):

Philippe Cailloux, Phac LeTuan, Dan Lipton, Antonio Tuzzi, Jerome Magnier

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Paceworks, Inc.

Street Address: 373 S. Monroe

#201

City/State/Zip: San Jose, CA 95128

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other | |

Execution Date: June 6, 2000, June 7, 2000, June 8, 2000

4. Application number(s) or patent number(s): 09/500,702

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/500,702

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Shaalu Mehra
Internal Address: FH 1-2
Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304-1050

6. Total number of applications and patents involved: []

7. Total fee (37 CFR 3.41) \$40.00

- ☐
- Enclosed
-
- ☒
- Authorized to be charged to deposit account

8. Deposit account number: 23-2415
(Attorney Docket No.: 17356-703)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Shaalu Mehra, Reg. No. 44,934

Name of Person Signing

Signature

6/09/00

Date

Total number of pages including cover sheet, attachments, and document: [2]

JUN 14 2000

FTO/SP/15 (R-96)

Approved for use through 9/30/98. OMB 0631-0027

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ASSIGNMENT OF APPLICATION

Docket Number 17156-703

Whereas, the undersigned:

1. **CAILLOUX, Philippe**
1146 Susan Way
Sunnyvale, CA 94087
2. **LEFUAN, Phac**
656 Villa Centre Way
San Jose, CA 95128
3. **LIPTON, Dan**
101 Ridgewood Ave.
San Francisco, CA 94112
4. **TUZZI, Antonio**
580 Bellflower Ave. #2
Sunnyvale, CA 94086
5. **MAGNIER, Jerome**
14 rue des Ormeaux
Paris, France 75020

hereinafter named "Inventors", have invented certain new and useful improvements in

METHODS AND APPARATUS FOR SUPPORTING AND
IMPLEMENTING COMPUTER BASED ANIMATION

- ☒ for which an application for United States Patent was filed on FEBRUARY 9, 2000, Application No. 09/390,702
☐ for which an application for a United States Patent was executed on , and

WHEREAS, Faceworks, Inc., a corporation of the State of California, having a place of business at 371 E. Monroe #201, San Jose, CA 95128, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, hereinafter conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of affidavits, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting subsequent, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissues of any said patent; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 6/6/00

✓

Philippe Cailoux

Date: 6/6/00

✓

Phac Lefuan

Date: 6/7/00

✓

Dan Lipton

Date: 6/7/2000

✓

Antonio Tuzzi

Date: 6/8/2000

✓

Jerome Magnier

CHIEF OF THE PATENT OFFICE

06/08/00 08:55

TX/RX NO.8901

P.006



Title: METHODS AND APPARATUS FOR SUPPORTING AND IMPLEMENTING COMPUTER BASED ANIMATION

Inventors/Applicant: CATILLOUX

Application Serial No.: 09/500,702

Filing Date: FEBRUARY 9, 2000

Type Of Application: ☐ Provisional ☐ Original ☐ CIP ☐ Continuation ☐ Divisional ☐ CPA ☐ PCT

☐ Transmittal of Patent Application

☐ Specification and Abstract _____ pages

☐ Claims _____ pages

☐ Drawings _____ pages

☒ Missing Parts of Application

☐ Declaration/Oath ☒ Declaration of Inventor of Attorney

☐ Power of Attorney ☒ Power of Attorney Assignee

☒ Assignment/Form PTO 1595

☒ Small Entity Declaration

☐ PCT International Application Request Form _____ pages

☐ Specification _____ pages

☐ Claims _____ pages

☐ Abstract _____ pages

☐ Drawings _____ pages

☐ Express Mail Certificate No. _____

☒ First Class Mail

☒ Authorization to Charge Deposit Account No. 23-2415

Attorney Docket No. 17356-703

Attorney: SHALU MEHRA

☐ Fee Transmittal

☒ Filing Fee \$ 345

☒ Claims in excess \$ 54

☒ Independent claims in excess \$ 117

☒ Surcharge \$ 65

☐ Extension of time (____ mo.)

☐ Request for Corrected Filing Receipt

☐ Information Disclosure Statement

☐ Form PTO-1449 with _____ references

☐ Amendment/Response

☐ Issue Fee ☐ Formal Drawings

☐ Extension of time fee \$ _____

☐ Reg-Corr Filing Receipt Fee \$ _____

☐ Issue fee/advance order \$ _____

☒ Other Fee \$ 40

☐ Chapter II Request/Fee Sheet

☐ Response-Invitation to Correct

☐ Article 34

☐ Article 19

☐ Response to Written Opinion

☒ Other: WITH REOLINED COPY

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Typed or Printed Name of Person Mailing Paper or Fee: Shirley Fajardo

Signature: Shirley Fajardo



2770

PATENT
Docket No. 1171

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**IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: PHILIPPE CAILLOUX, et al.

SERIAL NO.: 09/500,702

FILED: FEBRUARY 9, 2000

FOR: METHODS AND APPARATUS FOR SUPPORTING AND
IMPLEMENTING COMPUTER BASED ANIMATION

**Assistant Commissioner For Patents
Washington, D.C. 20231**

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced matter, transmitted herewith are the following:

1. Revocation of Power of Attorney and Power of Attorney (2 pages);
2. Statement under 37 CFR 3.73(b) (1 page);
3. Copy of the Notice of Recordation of Assignment Document (2 pages); a copy of the Form PTO-1595 (1 page); the Assignment of Application Document (1 page); and a copy acknowledgment post card (1 page) therefor; and
4. Post card in acknowledgment of all transmitted materials.

Respectfully submitted,

Victor Flores
Reg. No. 29,638

VF/FDL/sf
September 7, 2000
LARIVIERE, GRUBMAN & PAYNE, LLP
Post Office Box 3140
Monterey, CA 93942
(831) 649-8800